General information about company		
Scrip code	543235	
NSE Symbol	ANGELONE	
MSEI Symbol	NOTLISTED	
ISIN	INE732I01021	
Name of the entity	ANGEL ONE LIMITED	
Date of start of financial year	01-04-2025	
Date of end of financial year	31-03-2026	
Reporting Quarter Type	Yearly	
Date of Quarter Ending	31-03-2026	
Type of company	Equity	
Whether Annexure I (Part A) of the SEBI Circular dated December 31, 2024 related to Compliance Report on Corporate Governance is applicable to the entity?	Yes	
Whether Annexure I (Part B) of the SEBI Circular dated December 31, 2024 related to Investor Grievance Redressal Report is Applicable to the entity?	Yes	
Whether Annexure I (Part C) of the SEBI Circular dated December 31, 2024 related to Disclosure of Acquisition of Shares or Voting Rights in Unlisted Companies is Applicable to the entity?	No	NA
Whether Annexure I (Part D) of the SEBI Circular dated December 31, 2024 related to Disclosure of Imposition of Fine or Penalty is Applicable to the entity?	Yes	
Whether Annexure I (Part E) of the SEBI Circular dated December 31, 2024 related to Disclosure of Updates to Ongoing Tax Litigations or Disputes is Applicable to the entity?	Yes	
Whether Annexure I (Part F) of the SEBI Circular dated December 31, 2024 related to Disclosure Of Loans / Guarantees / Comfort Letters / Securities Etc. is Applicable to the entity?	Yes	
Risk management committee	Applicable	
Market Capitalisation as per immediate previous Financial Year	Top 500 listed entities	
Is SCORE ID Available ?	Yes	
SCORE Registration ID	comz00826	

Reason For No SCORE ID	
Type of Submission	Original
Remarks (website dissemination)	
Remarks for Exchange (not for Website Dissemination)	

Annexure I

Annexure I to be submitted by listed entity on quarterly basis

I. Composition of Board of Directors

Disclosure of notes on composition of board of directors explanatory	
Whether the listed entity has a Regular Chairperson	Yes
Whether Chairperson is related to MD or CEO	Yes

Sr	Title (Mr / Ms)	Name of the Director	PAN	DIN	Category 1 of directors	Category 2 of directors	Category 3 of directors	Date of Birth
1	Mr	Dinesh Thakkar		00004382	Executive Director	Chairperson	MD	02-02-1962
2	Ms	Mala Todarwal		06933515	Non-Executive - Independent Director	Not Applicable		03-05-1985
3	Mr	Krishna Iyer		01954913	Non-Executive - Non Independent Director	Not Applicable		14-10-1976
4	Mr	Muralidharan Ramachanrdran		08330682	Non-Executive - Independent Director	Not Applicable		21-09-1967
5	Mr	Kalyan Prasath		07677959	Non-Executive - Independent Director	Not Applicable		27-04-1966
6	Mr	Krishnaswamy Arabadi Sridhar		00046719	Non-Executive - Independent Director	Not Applicable		15-07-1960
7	Mr	Amit Majumdar		01633369	Executive Director	Not Applicable		01-06-1972
8	Mr	Arunkumar Nerur Thigarajan		02407722	Non-Executive - Independent Director	Not Applicable		24-09-1969
9	Mr	Ambarish Kenghe		10949234	Executive Director	Not Applicable	CEO	28-07-1976

I. Composition of Board of Directors					
Disqualification of Directors under section 164 of the Companies Act, 2013					
Sr	Whether the director is disqualified?	Start Date of disqualification	End Date of disqualification	Details of disqualification	Current status
1	No				Active
2	No				Active
3	No				Active
4	No				Active
5	No				Active
6	No				Active
7	No				Active
8	No				Active
9	No				Active

I. Composition of Board of Directors													
Sr	Whether special resolution passed? [Refer Reg. 17(1A) of Listing Regulations]	Date of passing special resolution	Initial Date of appointment	Date of Re-appointment	Date of cessation	Tenure of director (in months)	No of Directorship in listed entities including this listed entity (Refer Regulation 17A of Listing Regulations)	No of Independent Directorship in listed entities including this listed entity [with reference to proviso to regulation 17A(1) & reg. 17A(2)]	Number of memberships in Audit/ Stakeholder Committee(s) including this listed entity (Refer Regulation 26(1) of Listing Regulations)	No of post of Chairperson in Audit/ Stakeholder Committee held in listed entities including this listed entity (Refer Regulation 26(1) of Listing Regulations)	Reason for Cessation	Notes for not providing PAN	Notes for not providing DIN
1	NA		23-10-2007	01-01-2025			1	0	0	0			
2	NA		20-10-2021	20-10-2021		53.12	4	4	10	2			
3	NA		15-07-2021				1	0	1	0			
4	NA		06-08-2021	06-08-2021		55.26	1	1	1	0			
5	NA		16-01-2023	16-01-2023		38.16	1	1	0	0			
6	NA		16-01-2023	16-01-2023		38.16	1	1	1	0			
7	NA		17-04-2023				1	0	1	0			
8	NA		13-07-2023	13-07-2023		32.19	1	1	0	0			
9	NA		16-04-2025				1	0	0	0			

Audit Committee Details							
Whether the Audit Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06933515	Mala Todarwal	Non-Executive - Independent Director	Chairperson	20-10-2021		
2	08330682	Muralidharan Ramachanrdran	Non-Executive - Independent Director	Member	08-05-2023		
3	01954913	Krishna Iyer	Non-Executive - Non Independent Director	Member	12-10-2023		

Nomination and remuneration committee							
Whether the Nomination and remuneration committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00046719	Krishnaswamy Arabadi Sridhar	Non-Executive - Independent Director	Chairperson	08-05-2023		
2	06933515	Mala Todarwal	Non-Executive - Independent Director	Member	18-05-2022		
3	00004382	Dinesh Thakkar	Executive Director	Member	14-05-2018		
4	02407722	Arunkumar Nerur Thigarajan	Non-Executive - Independent Director	Member	12-10-2023		

Stakeholders Relationship Committee							
Whether the Stakeholders Relationship Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	06933515	Mala Todarwal	Non-Executive - Independent Director	Chairperson	20-10-2021		
2	01633369	Amit Majumdar	Executive Director	Member	08-05-2023		
3	00046719	Krishnaswamy Arabadi Sridhar	Non-Executive - Independent Director	Member	12-10-2023		

Risk Management Committee							
Whether the Risk Management Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	08330682	Muralidharan Ramachanrdran	Non-Executive - Independent Director	Chairperson	08-05-2023		
2	07677959	Kalyan Prasath	Non-Executive - Independent Director	Member	08-05-2023		
3	00046719	Krishnaswamy Arabadi Sridhar	Non-Executive - Independent Director	Member	08-05-2023		
4	10949234	Ambarish Kenghe	Executive Director	Member	16-04-2025		

Corporate Social Responsibility Committee							
Whether the Corporate Social Responsibility Committee has a Regular Chairperson					Yes		
Sr	DIN Number	Name of Committee members	Category 1 of directors	Category 2 of directors	Date of Appointment	Date of Cessation	Remarks
1	00004382	Dinesh Thakkar	Executive Director	Chairperson	14-05-2018		
2	01954913	Krishna Iyer	Non-Executive - Non Independent Director	Member	20-10-2021		
3	07677959	Kalyan Prasath	Non-Executive - Independent Director	Member	08-05-2023		

Other Committee						
Sr	DIN Number	Name of Committee members	Name of other committee	Category 1 of directors	Category 2 of directors	Remarks
1	08330682	Muralidharan Ramachanrdran	ESG Committee (Environment, Social and Governance)	Non-Executive - Independent Director	Chairperson	
2	00004382	Dinesh Thakkar	ESG Committee (Environment, Social and Governance)	Executive Director	Member	
3	01954913	Krishna Iyer	ESG Committee (Environment, Social and Governance)	Non-Executive - Non Independent Director	Member	
4	08330682	Muralidharan Ramachanrdran	Cyber Security and Information Technology Committee	Non-Executive - Independent Director	Chairperson	
5	10949234	Ambarish Kenghe	Cyber Security and Information Technology Committee	Executive Director	Member	
6	02407722	Arunkumar Nerur Thigarajan	Cyber Security and Information Technology Committee	Non-Executive - Independent Director	Member	
7	07677959	Kalyan Prasath	Cyber Security and Information Technology Committee	Non-Executive - Independent Director	Member	
8	00000000	Ravish Sinha	Cyber Security and Information Technology Committee	Member	Member	Textual Information(1)
9	00000000	Sridhar Govardhan	Cyber Security and Information Technology Committee	Member	Member	Textual Information(2)

Other Committee Text Block	
Textual Information(1)	Mr. Ravish Sinha-Group Chief Product and Technology Officer is a member of the Committee
Textual Information(2)	Sridhar Govardhan Group Chief Information Security Officer is appointed as member of the Committee wef 15 October 2025

Annexure 1

Annexure 1								
III. Meeting of Board of Directors								
Disclosure of notes on meeting of board of directors explanatory								
Sr. No.	Date(s) of meeting (if any) in the previous quarter	Date(s) of meeting (if any) in the current quarter	Maximum gap between any two consecutive (in number of days)	Notes for not providing Date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors as on date of the meeting	Number of Directors present* (All directors including Independent Director)	No. of Independent Directors attending the meeting*
1	15-10-2025				Yes	9	9	5
2		15-01-2026	91		Yes	9	9	5

Annexure 1

IV. Meeting of Committees

Disclosure of notes on meeting of committees explanatory

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
1	Audit Committee	15-10-2025				Yes	3	3	2	0
2	Audit Committee	15-01-2026	91			Yes	3	3	2	0
3	Nomination and remuneration committee	16-07-2025				Yes	4	4	3	0
4	Nomination and remuneration committee	15-01-2026	182			Yes	4	4	3	0
5	Stakeholders Relationship Committee	08-01-2026				Yes	3	3	2	0
6	Risk Management Committee	22-12-2025				Yes	4	4	3	0

Annexure 1

IV. Meeting of Committees

Sr	Name of Committee	Date(s) of meeting (Enter dates of Previous quarter and Current quarter in chronological order)	Maximum gap between any two consecutive (in number of days)	Name of other committee	Reason for not providing date	Whether requirement of Quorum met (Yes/No)	Total Number of Directors in the Committee as on date of the meeting	Number of Directors Present (All Directors including Independent Director)	No. of Independent Directors attending the meeting*	No. of members attending the meeting (other than Board of Directors)
7	Risk Management Committee	17-03-2026	84			Yes	4	4	3	0
8	Other Committee	15-04-2025		ENVIRONMENT, SOCIAL AND GOVERNANCE (ESG) COMMITTEE		Yes	3	3	1	0
9	Other Committee	16-02-2026	306	ENVIRONMENT, SOCIAL AND GOVERNANCE (ESG) COMMITTEE		Yes	3	3	1	0
10	Other Committee	11-07-2025		Independent Directors Meeting		Yes	5	5	5	0
11	Other Committee	08-01-2026	180	Independent Directors Meeting		Yes	5	5	5	0
12	Other Committee	22-12-2025		Cyber Security and Information Technology Committee		Yes	4	4	3	2

13	Other Committee	17-03-2026	84	Cyber Security and Information Technology Committee		Yes	4	4	3	2

Annexure 1		
V. Affirmations		
Sr	Subject	Compliance status (Yes/No)
1	The composition of Board of Directors is in terms of SEBI (Listing obligations and disclosure requirements) Regulations, 2015	Yes
2	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015 a. Audit Committee	Yes
3	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. b. Nomination & remuneration committee	Yes
4	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. c. Stakeholders relationship committee	Yes
5	The composition of the following committees is in terms of SEBI(Listing obligations and disclosure requirements) Regulations, 2015. d. Risk management committee (applicable to the top 1000 listed entities)	Yes
6	The committee members have been made aware of their powers, role and responsibilities as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
7	The meetings of the board of directors and the above committees have been conducted in the manner as specified in SEBI (Listing obligations and disclosure requirements) Regulations, 2015.	Yes
8	This report and/or the report submitted in the previous quarter has been placed before Board of Directors.	Yes

Annexure 1		
Sr	Subject	Compliance status
1	Name of signatory	Naheed Patel
2	Designation	Company Secretary and Compliance Officer

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)				
I. Disclosure on website in terms of LODR Regulation				
Sr				
Sr	Item	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.	Web address
	As per regulation 46(2) of the LODR:			
1.1	Details of business	Yes		www.angelone.in
1.2	Memorandum of Association and Articles of Association	Yes		https://www.angelone.in/investor-relations/codes-and-policies
1.3	Brief profile of board of directors including directorship and full-time positions in body corporates	Yes		https://www.angelone.in/investor-relations/constitution-of-committees
2	Terms and conditions of appointment of independent directors	Yes		https://www.angelone.in/investor-relations/codes-and-policies
3	Composition of various committees of board of directors	Yes		https://www.angelone.in/investor-relations/constitution-of-committees
4	Code of conduct of board of directors and senior management personnel	Yes		https://www.angelone.in/investor-relations/codes-and-policies
5	Details of establishment of vigil mechanism/ Whistle Blower policy	Yes		https://www.angelone.in/investor-relations/codes-and-policies
6	Criteria of making payments to non-executive directors	Yes		https://www.angelone.in/investor-relations/codes-and-policies
7	Policy on dealing with related party transactions	Yes		https://www.angelone.in/investor-relations/codes-and-policies
8	Policy for determining 'material' subsidiaries	Yes		https://www.angelone.in/investor-relations/codes-and-policies
9	Details of familiarization programmes imparted to independent directors	Yes		https://www.angelone.in/investor-relations/codes-and-policies

10	Email address for grievance redressal and other relevant details	Yes		https://www.angelone.in/investor-relations/contact
11	Contact information of the designated officials of the listed entity who are responsible for assisting and handling investor grievances	Yes		https://www.angelone.in/investor-relations/contact
12	Financial results	Yes		https://www.angelone.in/investor-relations/financials
13	Shareholding pattern	Yes		https://www.angelone.in/investor-relations/company-stock-exchange-announcements
14	Details of agreements entered into with the media companies and/or their associates	NA		

Annexure II to be submitted by listed entity at the end of the financial year (for the whole of financial year)

I. Disclosure on website in terms of LODR Regulation

Sr				
	As per regulation 46(2) of the LODR:			
15.1	(I) Schedule of analyst or institutional investor meet (II) Presentations prepared by the listed entity for analysts or institutional investors meet, post earnings or quarterly calls prior to beginning of such events.	Yes		https://www.angelone.in/investor-relations/company-stock-exchange-announcements
15.2	Audio recordings, video recordings, if any, and transcripts of post earnings or quarterly calls, by whatever name called, conducted physically or through digital means	Yes		https://www.angelone.in/investor-relations/financials
16	New name and the old name of the listed entity	Yes		www.angelone.in
17	Advertisements as per regulation 47 (1)	Yes		https://www.angelone.in/investor-relations/company-stock-exchange-announcements
18	Credit rating or revision in credit rating obtained	Yes		https://www.angelone.in/investor-relations/investor-information
19	Separate audited financial statements of each subsidiary of the listed entity in respect of a relevant financial year	Yes		https://www.angelone.in/investor-relations/annual-report-subsidiaries
20	Secretarial Compliance Report	Yes		https://www.angelone.in/investor-relations/company-stock-exchange-announcements
21	Materiality Policy as per Regulation 30 (4)	Yes		https://www.angelone.in/investor-relations/codes-and-policies
22	Disclosure of contact details of KMP who are authorized for the purpose of determining materiality as required under regulation 30(5)	Yes		https://www.angelone.in/investor-relations/investor-information
23	Disclosures under regulation 30(8)	Yes		https://www.angelone.in/investor-relations/company-stock-exchange-announcements
24	Statements of deviation(s) or variations(s) as specified in regulation 32	NA		
25	Dividend Distribution policy as per Regulation 43A(1)	Yes		https://www.angelone.in/investor-relations/codes-and-policies

26.1	Annual return as provided under section 92 of the Companies Act, 2013	Yes		https://www.angelone.in/investor-relations/annual-report-company
26.2	Employee Benefit scheme documents framed in terms of SEBI (SBEB) Regulations, 2021	Yes		https://www.angelone.in/investor-relations/codes-and-policies
27	Confirmation that the above disclosures are in a separate section as specified in regulation 46(2)	Yes		www.angelone.in
28	Compliance with regulation 46(3) with respect to accuracy of disclosures on the website and timely updating	Yes		www.angelone.in

Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is "No" details of non-compliance may be given here.
1	Independent director(s) have been appointed in terms of specified criteria of 'independence' and/or 'eligibility'	16(1)(b)	Yes	
2	Board composition	17(1), 17(1A) & 17(1C), 17(1D) & 17(1E)	Yes	
3	Meeting of Board of directors	17(2)	Yes	
4	Quorum of Board meeting	17(2A)	Yes	
5	Review of Compliance Reports	17(3)	Yes	
6	Plans for orderly succession for appointments	17(4)	Yes	
7	Code of Conduct	17(5)	Yes	
8	Fees/compensation	17(6)	Yes	
9	Minimum Information	17(7)	Yes	
10	Compliance Certificate	17(8)	Yes	

Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
11	Risk Assessment & Management	17(9)	Yes	
12	Performance Evaluation of Independent Directors	17(10)	Yes	
13	Recommendation of Board	17(11)	Yes	
14	Maximum number of Directorships	17A	Yes	
15	Composition of Audit Committee	18(1)	Yes	
16	Meeting of Audit Committee	18(2)	Yes	
17	Role of Audit Committee and information to be reviewed by the audit committee	18(3)	Yes	
18	Composition of nomination & remuneration committee	19(1) & (2)	Yes	
19	Quorum of Nomination and Remuneration Committee meeting	19(2A)	Yes	
20	Meeting of Nomination and Remuneration Committee	19(3A)	Yes	

Annexure II

II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
21	Role of Nomination and Remuneration Committee	19(4)	Yes	
22	Composition of Stakeholder Relationship Committee	20(1), 20(2) & 20(2A)	Yes	
23	Meeting of Stakeholders Relationship Committee	20(3A)	Yes	
24	Role of Stakeholders Relationship Committee	20(4)	Yes	
25	Composition and role of risk management committee	21(1),(2),(3),(4)	Yes	
26	Meeting of Risk Management Committee	21(3A)	Yes	
27	Quorum of Risk Management Committee meeting	21(3B)	Yes	
28	Gap between the meetings of the Risk Management Committee	21(3C)	Yes	
29	Vigil Mechanism	22	Yes	
30	Policy for related party Transaction	23(1), (1A), (5), (6), & (8)	Yes	

Annexure II

II. Annual Affirmations

Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
31	Prior or Omnibus approval of Audit Committee for all related party transactions	23(2), (3)	Yes	
32	Approval for material related party transactions	23(4)	Yes	
33	Disclosure of related party transactions on consolidated basis	23(9)	Yes	
34	Composition of Board of Directors of unlisted material Subsidiary	24(1)	Yes	
35	Other Corporate Governance requirements with respect to subsidiary of listed entity	24(2),(3),(4), (5) & (6)	Yes	
36	Alternate Director to Independent Director	25(1)	NA	
37	Maximum Tenure	25(2)	Yes	
38	Appointment, Re-appointment or removal of an Independent Director through special resolution or the alternate mechanism	25(2A)	NA	
39	Meeting of independent directors	25(3) & (4)	Yes	
40	Familiarization of independent directors	25(7)	Yes	

Annexure II

II. Annual Affirmations				
Sr	Particulars	Regulation Number	Compliance status (Yes/No/NA)	If status is “No” details of non-compliance may be given here.
41	Declaration from Independent Director	25(8) & (9)	Yes	
42	Directors and Officers insurance	25(10)	Yes	
43	Confirmation with respect to appointment of Independent Directors who resigned from the listed entity	25(11)	Yes	
44	Memberships in Committees	26(1)	Yes	
45	Affirmation with compliance to code of conduct from members of Board of Directors and Senior management personnel	26(3)	Yes	
46	Policy with respect to Obligations of directors and senior management	26(2) & 26(5)	Yes	
47	Approval of the Board and shareholders for compensation or profit sharing in connection with dealings in the securities of the listed entity	26(6)	Yes	
48	Vacancies in respect Key Managerial Personnel	26A(1) & 26A(2), 26A(3)	Yes	
	Any other information to be provided - Add Notes			

Annexure II		
1	Name of signatory	Naheed Patel
2	Designation	Company Secretary and Compliance Officer

Annexure II		
III. Affirmations		
Sr	**Particulars**	**Compliance status (Yes/No/NA)**
1	The Listed Entity has approved Material Subsidiary Policy and the Corporate Governance requirements with respect to subsidiary of Listed Entity have been complied	Yes
	Any other information to be provided	

Annexure II		
1	Name of signatory	Naheed Patel
2	Designation	Company Secretary and Compliance Officer

Additional Half yearly Disclosure DISCLOSURE OF LOANS / GUARANTEES / COMFORT LETTERS / SECURITIES ETC. (applicable only for half-yearly filings)

Any Other Information for Disclosure of Loans / Guarantees / Comfort Letters / Securities Etc.			Textual Information(1)
I. Disclosure of Loans/ guarantees/comfort letters /securities etc. Refer note below			
(A)Any loan or any other form of debt advanced by the listed entity directly or indirectly to			
Entity	Aggregate amount advanced during six months	Balance outstanding at the end of six months	
Promoter or any other entity controlled by them	0	0	
Promoter Group or any other entity controlled by them	0	0	
Directors (including relatives) or any other entity controlled by them	0	0	
KMPs or any other entity controlled by them	0	0	

(B) Any guarantee / comfort letter (by whatever name called) provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed By

Entity	Type (guarantee, comfort letter etc.)	Aggregate amount of issuance during six months	Balance outstanding at the end of six months(taking into account any invocation)
Promoter or any other entity controlled by them	0	0	0
Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0

(C) Any security provided by the listed entity directly or indirectly, in connection with any loan(s) or any other form of debt availed by

Entity	Type of security (cash, shares etc.)	Aggregate value of security provided during six months	Balance outstanding at the end of six months
Promoter or any other entity controlled by them	0	0	0
Promoter Group or any other entity controlled by them	0	0	0
Directors (including relatives) or any other entity controlled by them	0	0	0
KMPs or any other entity controlled by them	0	0	0
(D) Additional Information			Textual Information(2)
II. Affirmations			
Affirmations		Compliance Status	Company Remarks
All loans (or other form of debt), guarantees, comfort letters (by whatever name called) or securities in connection with any loan(s) (or other form of debt) given directly or indirectly by the listed entity to promoter(s), promoter group, director(s) (including their relatives), key managerial personnel (including their relatives) or any entity controlled by them are in the economic interest of the company.		Yes	Textual Information(3)
Name	Vineet Agrawal		
Designation	CEO		
Place	Mumbai		
Date	24-04-2026		

Details of Cyber security incidence		
Whether as per Regulation 27(2)(ba) of SEBI (LODR) Regulations, 2015 there has been cyber security incidents or breaches or loss of data or documents during the quarter		No
Number of cyber security incidence or breaches or loss of data event occurred during the quarter		
Sr.	Date of the event	Brief details of the event

Signatory Details	
Name of signatory	Naheed Patel
Designation of person	Company Secretary and Compliance Officer
Place	Mumbai
Date	24-04-2026

Investor Grievance Details	
No. of investor complaints pending at the beginning of Quarter	0
No. of investor complaints received during the Quarter	3
No. of investor complaints disposed off during the Quarter	3
No. of investor complaints those remaining unresolved at the end of the Quarter	0

Disclosure of Imposition of Fine or Penalty The details of imposition of fine or penalty during the quarter in terms of sub-para 20 of para A of Part A of Schedule III are given below:					
Any Other Information for Disclosure of Imposition of Fine or Penalty					
Sr. No.	Name of the authority	Nature and details of the action(s) taken or order(s) passed	Date of receipt of direction or order, including any ad interim or interim orders, or any other communication from the authority	Details of the violation(s)/ contravention(s) committed or alleged to be committed	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible
1	NSE	Incorrect reporting ofmargin collection fromclients	21-01-2026	Incorrect reporting of margincollection from clients	66800
2	NSE	Incorrect reporting ofmargin collection from clients	29-01-2026	Incorrect reporting of margincollection from clients	35000NSE
3	NSE	Trading member has notcorrectly reported Dailymargin trading fi le (MTRfi le)	06-03-2026	Upon verifi cation of MTR File,Client ledgers, and details ofborrowing taken for MTF asprovided by theMember, theExchange observed that AngelOne has incorrectly reportedLender Category of borrowingsin Daily Margin Trading File(MTR File) in case of 11instances as on various datesbetween November 03,2025,to November 19, 2025.	11000
4	NSE	Member has not reportedopening of their bankaccount within one weekof opening	16-03-2026	Upon verifi cation of bankaccount records of theExchange based on enhancedsupervision submissions of theMember along with the list ofall bank accounts available withthe Member, the Exchangeobserved that Angel One hasnot reported the opening oftheir bank	5000

				accounts to theExchange within one week ofthe opening in case of 01instance during the inspectionperiod.	
5	CDSL	Transaction not executedas per the procedureprescribed by Depositorysuch as change in bankdetails, change insignature, transmission,account closure,freeze/unfreeze, pledge,remat etc. as prescribed under guidelines of CDSL /SEBI /PMLA	02-03-2026	Transaction not executed asper the procedure prescribedby Depository such as changein bank details, change insignature, transmission,account closure,freeze/unfreeze, pledge, rematetc. as prescribed underguidelines of CDSL / SEBI/PMLA	1000
6	CDSL	There is a system tomaintain all thedocuments and recordssatisfactorily for aminimum period of 8years. Refer Securities andExchange Board of India(Depositories AndParticipants) Regulations,2018 dated 3rd October,2018.	02-03-2026	Documents not maintained fora period of 8 years	2000
7	NSE	Non-upload/ update ofclient's details in UCCdatabase	30-03-2026	Upon verifi cation of UCCdetails of the clients and back-offi ce database of the Member,the Exchange observed thatAngel One has not uploaded/updated client's details in UCCdatabase in case of 94instances as on November 28,2025	50000
8	CDSL	1) Data entry errors /omission which may causeinconvenience and/or lossto the BO/ system/Depository. 2) Any type of transactionnot executed as per theprocedure prescribed byDepository such as change in bank details,change in signature,transmission, accountclosure, freeze/unfreeze, pledge, remat	30-03-2026	1) Data entry errors / omission which may cause inconvenience and/or loss to the BO/ system /Depository. 2) Any type of transaction not executed as per the procedure prescribed by Depository such as change in bank details, change in signature, transmission, account closure, freeze/unfreeze, pledge, remat	1100
9	BSE	Position Limit Violation - Equity Derivatives_612 for January February and March 2026	25-03-2026	Position Limit Violation - Equity Derivatives_612 for January February and March 2026	70000
10	MCX	Trading terminals situated at the place of inspection has been operated	18-02-2026	Trading terminals situated at the place of inspection has been operated by other	1500

		by other than approved and certified users/ ersons.- AP Prabhav Investment		than approved and certified users/ persons.- AP Prabhav Investment	

Disclosure of Updates to Ongoing Tax Litigations or Disputes The updates on tax litigations or disputes in terms of sub-para 8 of para B of Part A of Schedule III read with corresponding provisions of Annexure 18 of the Master Circular are given below:				
Any Other Information for Disclosure of Updates to Ongoing Tax Litigations or Disputes				
Sr. No.	Name of the opposing party	Date of initiation of the litigation / dispute	Status of the litigation / dispute as per last disclosure	Current status of the litigation / dispute
1	Income Tax Department	25-07-2018	Pending for adjudication/hearing	Matter is pending before Hon'ble Bombay High Court.
2	Income Tax Department	17-01-2018	Pending for adjudication/hearing	Matter is pending before Hon'ble CIT(A).
3	Income Tax Department	25-10-2022	Pending for adjudication/hearing	Matter is pending before Hon'ble CIT(A).
4	GST department (Punjab FY 17-18)	20-03-2024	Pending for adjudication/hearing	Matter is pending before GST Appellate Authority
5	GST department (Punjab FY 18-19)	18-07-2024	Pending for adjudication/hearing	Matter is pending before GST Appellate Authority
6	GST department (Bihar FY 17-18 to 19-20)	11-01-2025	Pending for adjudication/hearing	Matter is pending before GST Appellate Authority
7	GST department (Karnataka FY 20-21)	25-02-2025	Pending for adjudication/hearing	Matter is pending before GST Appellate Authority
8	GST department (Rajasthan FY 18-19)	26-09-2025	Pending for adjudication/hearing	Matter is pending before GST Appellate Authority
9	Income Tax Department	07-11-2025	Pending for adjudication/hearing	Matter is pending before Hon'ble CIT(A).
10	GST department (Maharashtra FY 18-19 to 22-23)	09-12-2025	Pending for adjudication/hearing	Matter is pending before GST Appellate Authority
11	GST department (Maharashtra FY 18-19)	18-12-2025	Pending for adjudication/hearing	Matter is pending before GST Appellate Authority

12	GST department (Karnataka FY 21-22)	26-12-2025	Pending for adjudication/hearing	Matter is pending before GST Appellate Authority
13	GST department (Delhi FY 21-22)	30-12-2025	Pending for adjudication/hearing	Matter is pending before GST Appellate Authority
14	GST department (Maharashtra FY 19-20 to 22-23)	27-02-2026	Pending for adjudication/hearing	Matter is pending before GST Appellate Authority